



Slater & Gordon  
Bell Potter Class Action

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## Bell Potter Class Action – Progen Pharmaceuticals Limited (ASX Code: PGL) (ISIN: AU000000PGL5)

# Preliminary Questionnaire

Please complete **all** sections below and **attach** your shareholding records

### Registration

Name:

Address:

Mobile:

Email:

- I am interested in becoming a group member of the contemplated litigation and would like to receive further information including any litigation funding proposal.
- I would like to remain anonymous during the course of the litigation unless the Court orders otherwise or my prior written consent has been obtained.

### Entering into of the Agreement

1. On what date did you enter into the Financial Products Trading Account Agreement/Facility?
2. Who did you deal with when entering into the Financial Products Trading Account? Please provide the name of these Bell Potter employee(s), their job title & location of Bell Potter office in which they were employed.

3. Did you rely on any of the following information/advice in relation to Progen Pharmaceuticals Limited (hereafter referred to as "PGL") from Bell Potter? Please tick the information relied upon, the mode in which you received it and how you came to receive the information eg by subscription.
- 3.1  Research Company Update on PGL prepared by Linda Ong dated 13 December 2006  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.2  Research Company Update on PGL prepared by Linda Ong dated 19 December 2006  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.3  Research Company Update on PGL prepared by Linda Ong dated 19 January 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.4  Research Company Update on PGL prepared by Linda Ong dated 22 February 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.5  Research Company Update on PGL prepared by Linda Ong dated 13 March 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)

- 3.6  Research Company Update on PGL prepared by Linda Ong dated 16 April 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.7  Research Company Update on PGL prepared by Linda Ong dated 4 May 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.8  Research Company Update on PGL prepared by Linda Ong dated 5 June 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.9  Research Company Update on PGL prepared by Linda Ong dated 18 July 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)
- 3.10  Research Company Update on PGL prepared by Linda Ong dated 23 August 2007  
Mode of receipt:  
 Email  
 Post  
 Collected at office  
 Other (please provide details)

3.11  Research Company Update on PGL prepared by Linda Ong dated 5 December 2007

Mode of receipt:

Email

Post

Collected at office

Other (please provide details)

3.12  Oral representations made by Ryan Mount

Please provide the approximate date on which these representations were made to you

3.13  None of the above

Please provide details of the form in which you received information about PGL, the organisation and individual from whom you received this information and the manner in which the advice was provided in writing or orally.

4. Did you obtain any loans to fund the purchase of PGL shares? Please provide details including date loan obtained, with what lending institution, for what amount, and current amount outstanding. If you are in arrears on this loan, please also note the amount of arrears and whether foreclosure is imminent.

